

- 13.1** Let  $(S, g)$  be a 2-dimensional Riemannian manifold and let  $p \in S$ . For any  $0 < r < \iota(p)$ , we will denote with  $L_r$  the length of the “circle” of points of distance equal to  $r$  from  $p$ . Show that the sectional curvature at  $p$  satisfies

$$K(p) = \lim_{r \rightarrow 0} \frac{3}{\pi} \frac{2\pi r - L_r}{r^3},$$

(note that  $2\pi r$  is simply the length of a Euclidean circle of radius  $r$ ). (*Hint: You might want to use Exercise 10.1 about the expression of  $g$  in polar coordinates around  $p$ .*)

- 13.2** Let  $(S, g)$  be a 2-dimensional connected and complete Riemannian manifold and let  $p \in S$ .

- (a) Let  $\gamma : [0, +\infty) \rightarrow S$  be a unit-speed geodesic such that  $\gamma(0) = p$  and let  $\hat{n} \in \Gamma_\gamma$  be a unit vectorfield along  $\gamma$  which is orthogonal at every point to  $\dot{\gamma}$ . Show that  $\hat{n}$  is parallel transported along  $\gamma$ . (*Hint: Compute the projections of  $\nabla_{\dot{\gamma}}\hat{n}$  on  $\dot{\gamma}$  and  $\hat{n}$ . Note that here one has to make use of the fact that the dimension of  $T_{\gamma(t)}S$  is 2.*)
- (b) With  $\gamma$  and  $\hat{n}$  as above, let  $J \in \Gamma_\gamma$  be a Jacobi vector field such that  $J(0) = 0$  and  $J \perp \dot{\gamma}$ . Show that  $J(t) = f(t)\hat{n}(t)$  for some  $f : [0, +\infty) \rightarrow \mathbb{R}$  satisfying

$$\begin{cases} f'' + Kf = 0, \\ f(0) = 0, \quad f'(0) = \langle \nabla_{\dot{\gamma}} J, \hat{n} \rangle|_{t=0}, \end{cases}$$

where  $K(t) = K|_{\gamma(t)}$  is the sectional curvature. Show that the ratio

$$\lambda(t) \doteq \frac{f'(t)}{f(t)}$$

(defined at all point where  $J(t) \neq 0$ ) satisfies the Ricatti type equation

$$\lambda' = -K - \lambda^2.$$

- (c) Assume that the curvature of  $(S, g)$  satisfies everywhere the lower bound

$$K \geq 1. \tag{1}$$

Show that along any geodesic  $\gamma$  as above,  $p$  has a conjugate point  $\gamma(t_*)$  for some  $t_* \leq \pi$ . (*Hint: Show that  $\lambda(t)$  satisfies the differential inequality  $\frac{d}{dt} \text{Arctan}(\lambda(t)) \leq -1$  with initial condition  $t\lambda(t) \xrightarrow{t \rightarrow 0^+} 1$ .*)

- (d\*) Assume that on a Riemannian surface  $(S, g)$  as above (satisfying, in particular, (1)), there exists a point  $p$  with injectivity radius satisfying  $\iota(p) \geq \pi$ . Show that  $(S, g)$  is isometric to  $(\mathbb{S}^2, g)$ . (*Hint: Show first that the curvature  $K$  has to be everywhere equal to 1.*)

**13.3** Let  $(\mathcal{M}, g)$  be a Riemannian manifold. For any smooth vector field  $X \in \Gamma(\mathcal{M})$ , we will define the divergence  $\operatorname{div} X$  of  $X$  to be the function defined as the contraction

$$\operatorname{div} X \doteq \operatorname{tr} \nabla X = (\nabla_i X)^i.$$

Similarly, for any  $\omega \in \Gamma^*(\mathcal{M})$ , we will define

$$\operatorname{div} \omega \doteq \operatorname{div}(\omega^\sharp).$$

Note that, in local coordinates,

$$\operatorname{div} \omega = g^{ij} (\nabla_i \omega)_j.$$

In this exercise, we will generalise the well-known divergence identity from multivariable calculus to the Riemannian setting. To this end, let  $\omega$  be a smooth 1-form on  $\mathcal{M}$  and  $\Omega \subset \mathcal{M}$  be an open domain with compact closure and with a (possibly empty) piecewise smooth boundary  $\partial\Omega$ . Let also  $\hat{n}$  be the unit normal to  $\partial\Omega$  pointing out of  $\Omega$  and  $\bar{g}$  be the induced metric on  $\partial\Omega$  (both defined at every point where  $\partial\Omega$  is smooth). Our aim is to show that

$$\int_{\Omega} \operatorname{div} \omega \, d\operatorname{Vol}_g = \int_{\partial\Omega} \omega(\hat{n}) \, d\operatorname{Vol}_{\bar{g}} \quad (2)$$

(a) Show that, in any local coordinate system,  $\operatorname{div} \omega$  takes the form

$$\operatorname{div} \omega = \frac{1}{\sqrt{\det g}} \partial_i (g^{ij} \sqrt{\det g} \omega_j).$$

*Hint: You might want to use the following formula from linear algebra regarding the derivative of the determinant of a matrix-valued function: If  $A = A(s)$  is a family of matrices, then*

$$\frac{d}{ds} \det A = \det A \cdot \operatorname{tr} \left( A^{-1} \frac{dA}{ds} \right).$$

(b) Assume that  $\Omega$  has the property that  $\operatorname{clos}(\Omega)$  is covered by a coordinate chart  $(x^1, \dots, x^n)$ , with respect to which it is of the form

$$\Omega = \bigcap_{i=1}^n \{a_-^i < x^i < a_+^i\}$$

for some constants  $a_-^i < a_+^i$ ,  $i = 1, \dots, n$  (i.e.  $\Omega$  is a coordinate box). Note that, in this case,

$$\partial\Omega = \bigcup_{i=1}^n S_+^{(i)} \cup S_-^{(i)}, \quad \text{where } S_{\pm}^{(i)} = \{x^i = a_{\pm}^i\} \cap \{a_-^j \leq x^j \leq a_+^j, j \neq i\}.$$

Show that, in this case, (2) holds. (*Hint: Compute first  $\hat{n}$  and  $\bar{g}$  on  $S_{\pm}^{(i)}$ . Recall that  $d\operatorname{Vol}_g = \sqrt{\det g} \, dx^1 \dots dx^n$ .)*

- (c) Show that (2) holds for a general domain  $\Omega$  with compact closure and with piecewise smooth boundary  $\partial\Omega$ . You might use as given the fact that any such domain  $\Omega$  can be written as a finite union of coordinate boxes as in the previous step, i.e. there exists a finite number of open sets  $\Omega_\alpha \subset \Omega$  satisfying the following properties:

1.  $\cup_\alpha \text{clos}(\Omega_\alpha) = \text{clos}(\Omega)$ ,
2.  $\Omega_\alpha \cap \Omega_\beta = \emptyset$  if  $\alpha \neq \beta$ ,
3. For each  $\Omega_\alpha$ , there exists a coordinate system around  $\Omega_\alpha$  with respect to which  $\Omega_\alpha$  satisfies the assumptions of the previous step.

**13.4 (The Bochner technique).** Let  $(\mathcal{M}, g)$  be a Riemannian manifold. For any smooth function  $f : \mathcal{M} \rightarrow \mathbb{R}$ , we will define the *Laplacian*  $\Delta_g f$  of  $f$  by the formula

$$\Delta_g f \doteq \text{div}(df).$$

- (a) Show that  $\Delta_g f = g^{ij} \text{Hess}[f]_{ij}$ .
- (b) Show that if  $\mathcal{M}$  is compact, then

$$\int_{\mathcal{M}} \Delta_g f \, d\text{Vol}_g = 0.$$

*Hint: Use Exercise 13.3.*

- (c\*) Let  $X$  be a vector field on  $\mathcal{M}$ . Show that

$$\Delta_g \langle X, X \rangle = 2\|\nabla X\|^2 - 2X(\text{div} X) + 2\langle \text{div}_1(\nabla X)_{\text{ant}}, X \rangle - 2\text{Ric}(X, X), \quad (3)$$

where

$$\begin{aligned} ((\nabla X)_{\text{ant}})_i^j &= (\nabla_i X)^j + g^{jl} g_{im} (\nabla_l X)^m, \\ (\text{div}_1(\nabla X)_{\text{ant}})^j &= g^{kl} (\nabla_k (\nabla X)_{\text{ant}})_l^j \end{aligned}$$

and

$$\|\nabla X\|^2 = g^{ij} g_{kl} \nabla_i X^k \nabla_j X^l.$$

*Hint: You can start from the relation  $(d\langle X, X \rangle)_i = 2\langle \nabla_i X, X \rangle$  and calculate its divergence.*

- (d\*) Let  $X$  be a Killing vector field on  $(\mathcal{M}, g)$  (recall that this implies that  $\nabla_i X_j + \nabla_j X_i = 0$ ). Show that  $(\nabla X)_{\text{ant}} = 0$  and  $\text{div} X = 0$ . Show that if  $\mathcal{M}$  is connected and compact and the Ricci curvature satisfies for any  $p \in \mathcal{M}$  and any  $V \in T_p \mathcal{M} \setminus 0$

$$\text{Ric}(V, V) < 0,$$

then  $X$  has to be identically 0 (*Hint: Integrate (3) over  $\mathcal{M}$ .*). Deduce that the group of isometries of a compact Riemannian manifold with negative Ricci curvature is discrete.